

# FORMS FOR BID

# FOR CONSTRUCTION ON STATE HIGHWAY IN KERN COUNTY NEAR TAFT FROM ELK HILLS ROAD TO TUPMAN ROAD

In District 06 On Route 119
Under

Notice to Bidders and Special Provisions dated June 22, 2015

Standard Specifications dated 2010

Project plans approved March 9, 2015

Standard Plans dated 2010

To be submitted conjointly with Electronic *Bid* book dated June 22, 2015 Identified by Contract No. 06-424714 06-Ker-119-R10.0/R13.3 Project ID 0613000157

Federal-Aid Project ACSTP-P119(018)E

#### STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

### **DBE - COMMITMENT**

DES-OE-0102.10D (REV 12/2014)

ADA Notice

| CONTRACT NO:   |                                |   |                                     |  |   |                     |  |  |
|--|--------------------------------|---|-------------------------------------|--|---|---------------------|--|--|
| BID AMOUNT:  |                                |   |                                     |  |   |                     |  |  |
| BID OPENING DATE:  |                                |   |                                     |  |   |                     |  |  |
| BIDDER'S NAME:   |                                |   |                                     |  |   |                     |  |  |
| DBE GOAL FROM CONTRA   | ACT %:                         |   |                                     |  |   |                     |  |  |
| DBE PRIME CONTRACTOR   | R CERTIFICATION <sup>1</sup> : | TOTAL NUMBER OF A   | LL SUBCONTRACTS (DBE &              | NON-DBE) TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE  |   |                     |  |  |
| BID<br>ITEM NO.  | SERVICES TO BE S               | ND DESCRIPTION OF<br>SUBCONTRACTED OR<br>D BE PROVIDED <sup>2</sup> | WORK CATEGORY<br>CODES <sup>3</sup> | NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors) |   | AMOUNT<br>(\$)      |  |  |
|  |                                |   |                                     |  |   |                     |  |  |
|  |                                |   |                                     |  |   |                     |  |  |
|  |                                |   |                                     |  |   |                     |  |  |
|  |                                |   |                                     |  |   |                     |  |  |
| Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to. |                                |   |                                     |  | S<br>Total Claimed<br>Participation   |                     |  |  |
| The names of the 1st tier  |                                |   |                                     |  | %   |                     |  |  |
| Subcontractor List (Pub Cont Code § 4100 et seq.).  1 Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.                                   |                                |   |                                     |  | The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53). |                     |  |  |
| <sup>2</sup> If 100% of an item is not<br>the item to be performed   |                                | nished by the DBE, de   | scribe the exact portion of         |  |   |                     |  |  |
| <sup>3</sup> Use Work Category Cod   | des from the California        | Unified Certification Pr  | Sig                                 | gnature of Bidder  |   |                     |  |  |
|  |                                |   |                                     | Da   | te (A   | vrea Code) Tel. No. |  |  |
|  |                                |   |                                     | Pe   | rson to Contact (Ple  | ease Type or Print) |  |  |
|  |                                |   |                                     |  |   |                     |  |  |

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#### DBE CONFIRMATION

DES-OE-0102.13 (NEW 3/2015)

|  |  |   | <del></del> -  |  |
|--|--|---|----------------|--|
|  |  |   |                |  |
| Contracting.   |  |   |                |  |
| Name of DBE business   |  |   |                |  |
| Name of DBE representative                                       |  |   |                |  |
| DBE certification number   |  |   |                |  |
| Name of hidder   |  |   |                |  |
| Name of prime contractor if different                            | from the bidder  |   |                |  |
|  |  |   |                |  |
| Name of representative of bidder or p                            | onme contrastor  |   |                |  |
| Date quote was provided to the broken                            | er or prime contractor   |   |                |  |
| Bid item number  | Item of work and description of services to be subcontracted or              | materials Labe provided <sup>1</sup>  | Amount<br>(\$) |  |
|  |  |   |                |  |
|  |  |   |                |  |
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|  |  |   |                |  |
|  |  | T-4-1   |                |  |
| If 100% of an item is not to be<br>item to be performed or furni | e performed or furnished by the DBE. describe the exact portion of the shed. | Total   |                |  |
|  |  | As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.  I certify under penalty of perjury that the foregoing is true and correct.  Signature of DDE's authorized representative |                |  |
|  |  |   |                |  |
|  |  | ]<br>[  |                |  |
|  |  | Pritted name of CEE's authorized representative   |                |  |
|  |  | Title of DBE's authorized representative  |                |  |
|  |  | Date  |                |  |

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| STATE OF CALIFORNIA • DEPARTMENT OF TRAN | ICDODTATION |  |
|--|-------------|--|

#### **DBE GOOD FAITH EFFORTS DOCUMENTATION**

DES-OE-0102.11A (REV 12/2014)

| Bidder's Name: |  |
|----------------|--|
| Contract No.:  |  |

Page 1 of 3

1. List items of work the Bidder made available to DBE firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

| ltem of Work Offered, Services Offered, or<br>Materials Supplied | Perforr | Normally<br>ns Item<br>s/No | Facilitate F | en Down to<br>Participation<br>s/No | for Performan<br>Sche | xible Timeframes<br>ce and Delivery<br>edules<br>s/No | Amount<br>(\$) | Percentage of<br>Total Bid |
|--|---------|-----------------------------|--------------|-------------------------------------|-----------------------|---|----------------|----------------------------|
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □NO   |                |                            |
|  | YES     | □ио                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ио                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □NO   |                |                            |
|  | YES     | □ №                         | YES          | □ №                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | □ №                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ио                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □NO   |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ио                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ №                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | □ио                         | YES          | □ №                                 | YES                   | □ NO  |                |                            |
|  | YES     | Пио                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  | YES     | Пио                         | YES          | Пио                                 | YES                   | □ NO  |                |                            |
|  |         |                             |              |                                     |                       |   |                |                            |

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| STATE OF CALIFORNIA • DEPARTIMENT OF TRANSPORTATION |  |

| DRE GOOD FAITH FEFORTS DOCUMENTATION |   |
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| Bidder's Name: |  |
|----------------|--|
| Contract No.:  |  |
| _              |  |

| DE3-0E-0102.11A (R                                      | LV 12/2014)                           |                  |                      |           |                       |  |                       | Page 2 of 3              |
|---|---------------------------------------|------------------|----------------------|-----------|-----------------------|--|-----------------------|--------------------------|
|   |                                       |                  |                      |           |                       | clude the items of work offered a<br>copies of solicitations. e-mail me          |                       |                          |
| Name of DBI   | E Solicited                           | Date of I        | nitial Solicitation  |           | Items of              | Wark Offered   | Follow Up Me          | thods and Dates          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   |                                       |                  |                      |           |                       |  |                       |                          |
|   | ided quotes, the p                    | rice quote for e | ach firm, and the    | price dif | fference for each DBE | pecific to the items of work being<br>if the selected firm is not a DBE.<br>act. |                       |                          |
| Items of Work   | Provided<br>Specifications fo<br>Yes/ | r Work Offered   | Name of Sele<br>Firm | cted      | DBE or<br>Non-DBE     | Name of Rejected Firm  | Quote<br>(\$)         | Price Difference<br>(\$) |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
|   | YES                                   | Ои               |                      |           |                       |  |                       |                          |
|   | YES                                   | Ои               |                      |           |                       |  |                       |                          |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
|   | YES                                   | Пио              |                      |           |                       |  |                       |                          |
| If the firm selected for th<br>Provide evidence as to v |                                       |                  |                      |           |                       | nd attach names, addresses, and  | phone numbers for the | firms listed above.      |

## STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

| DBF GOOD FAITH FEFORTS DOCUMEN |  |
|--------------------------------|--|

| BE GOOD FAITH EFFORTS DOCUMENTATION   | Contract No.: |
|---------------------------------------|---------------|
| DE COOD I AITH EIT ONTO DOCOMENTATION |               |

Bidder's Name:

| 4. Describe his Bidder's offers made to provide inferenced DBEs with adequate infermedian about the plans, specifications, and requirements of the Contract. Provide copies of supporting documents.  5. Describe his Bidder's efforts made to provide inferenced DBEs with adequate infermedian about the plans, specifications, and requirements of the Contract to asset them in responding to a solidation. Identify the DBEs assisted, the type of information provides, and the date of the contracts. Provide copies of supporting documents.  5. Checkibs his Bidder's efforts made to avoid interested DBEs in obtaining bronding, I rea of credit, or insurance, identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.  7. Observible his Bidder's efforts made to avoid interested DBEs in obtaining bronding, I rea of credit, or insurance, identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.  7. Observible his Bidder's efforts made to assist interested DBEs in obtaining bronding, I rea of credit, or insurance, identify the DBEs assisted, the type of assistance of services, excluding supplies and explained by the DBEs assisted that the type of assistance of services, excluding supplies and explained by the DBEs assisted that the type of assistance of services, excluding supplies and explained provides copies of supporting documents.  8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruising, and using DBE firms. If the agencies were contacted in various copies of supporting documents. | DES-OE-0102.11A (REV 12/2014)  |   |  | Page 3 of 3  |
|---|--|---|--|--|
| 5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in exponenting to a selection. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.  6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding. Insist of credit, or insurance, Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.  7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, malariats, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the dates. Provide copies of supporting documents. List efforts made to assist reserted DBEs in obtaining necessary equipment, supplies, malariats, or related assistance or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Centify the DBE assisted, the assistance offered, and the difference of supporting documents. List efforts made to assist related DBEs in obtaining bonding interest or revices. The type of assistance offered, and the difference of supporting documents and the dates. Provide copies of supporting documents.  |  | entify and solicit the interest of all certified DBE  | s that have the capability to perform the work o   | fthe Contract. Provide copies of supporting  |
| Responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.  8. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.  7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate, Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor or its affiliate, Identify the DBEs assisted the dates. Provide copies of supporting documents. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in w provide copies of supporting documents.   | Description of Outreach  | Dates   | Location (if applicable)   | Results  |
| Responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.  8. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.  7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate, Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor or its affiliate, Identify the DBEs assisted the dates. Provide copies of supporting documents. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in w provide copies of supporting documents.   |  |   |  |  |
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| 6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.  7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate, Identify the DBEs assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the dProvide copies of supporting documents.  8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in w provide copies of supporting documents.  |  |   |  |  |
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| 7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate, Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of support documents. List efforts made to assist interested DBEs in obtaining bonding lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the distribution of supporting documents.  B. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in worrowide copies of supporting documents.  |  |   |  |  |
| 7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate, Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of support documents. List efforts made to assist interested DBEs in obtaining bonding lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the distribution of supporting documents.  B. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in worrowide copies of supporting documents.  |  |   |  |  |
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| provide copies of supporting documents.   | equipment the DBE purchases or leases from<br>documents. List efforts made to assist interest<br>excluding supplies and equipment the DBE su | the prime contractor or its affiliate. Identify the ted DBEs in obtaining bonding, lines of credit, i | DBEs assisted, the type of assistance offered, nsurance, necessary equipment, supplies, mat        | and the dates. Provide copies of supporting erials, or related assistance or services. |
| provide copies of supporting documents.   |  |   |  |  |
| provide copies of supporting documents.   |  |   |  |  |
| 9. Include additional data to support a demonstration of good faith efforts.  |  | n which they were contacted to provide assista  | nce in contacting, recruiting, and using DBE fir   | ms. If the agencies were contacted in writing,   |
| 9. Include additional data to support a demonstration of good faith efforts.  |  |   |  |  |
| 9. Include additional data to support a demonstration of good faith efforts.  |  |   |  |  |
|   | 9. Include additional data to support a demon  | stration of good faith efforts.   |  |  |
|   |  |   |  |  |
|   |  |   |  |  |

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